

09/14/00  
jc921 U.S. PTO

9-15-00 A

PTO/SB/05 (4/98)

Approved for use through 09/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box +

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 99-054

First Inventor or Application Identifier John R. Patterson  
Title Chlorinated Vinyl Resin/Cellulosic Blends: Compositions, Processes, Composites, and Express Mail Label No. EK905906683US

Articles  
Therefrom

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 51]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
4.  Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  **DELETION OF INVENTOR(S)**  
  
Signed statement attached deleting inventors(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. §3.73(b) Statement  Power of Attorney  
(when there is an assignee)
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \*Small Entity  Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12)
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: \_\_\_\_\_

16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. : \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label				<input checked="" type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)				
Name	Jeffrey H. Rosedale			
Address	Rohm and Haas Company			
City	Philadelphia	State	PA	Zip Code
Country	USA	Telephone	(215) 785-8300	Fax
				19106-2399
				215-592-2682

Name (Print/Type)	Jeffrey H. Rosedale	Registration No. (Attorney/Agent)	46,018
Signature	Jeffrey H. Rosedale	Date	9/14/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1238)

Complete if Known

Application Number	601400
Filing Date	Herewith
First Named Inventor	John R. Patterson
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	99-054

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				3. ADDITIONAL FEES			
Deposit Account Number	18-1850			Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description
Deposit Account Name	Rohm and Haas Company			105	130	205	65 Surcharge - late filing fee or oath
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				127	50	227	25 Surcharge - late provisional filing fee or cover sheet
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money <input type="checkbox"/> Other Order				139	130	139	130 Non-English specification
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	Fee Description	Fee Paid	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	690	105	130 205 65	Surcharge - late filing fee or oath	
106	310	206 155 Design filing fee		127	50 227 25	Surcharge - late provisional filing fee or cover sheet	
107	480	207 240 Plant filing fee		139	130 139 130	Non-English specification	
108	690	208 345 Reissue filing fee		147	2,520 147 2,520	For filing a request for reexamination	
114	150	214 75 Provisional filing fee		112	920* 112 920*	Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$ 690)				113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES							
Total claims	23	-20** = 3 X 18 = 54	Fee from below	Fee Paid	Fee Description	Fee Paid	Fee Description
Independent Claims	6	-3** = 3 X 78 = 234			115	110 215 55	Extension for reply within first month
Multiple Dependent		260 = 260			116	380 216 190	Extension for reply within second month
*or number previously paid, if greater; For Reissues, see below							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	Fee Description	Fee Paid	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20		117	870 217 435	Extension for reply within third month	
102	78	202 39 Independent claims in excess of 3		118	1,360 218 680	Extension for reply within fourth month	
104	260	204 130 Multiple dependent claim, if not paid		128	1,850 228 925	Extension for reply within fifth month	
109	78	209 39 **Reissue independent claims over original patent		119	300 219 150	Notice of Appeal	
110	18	210 9 **Reissue claims in excess of 20 and over original patent		120	300 220 150	Filing a brief in support of an appeal	
SUBTOTAL (2) (\$ 548)				121	260 221 130	Request for oral hearing	
*Reduced by Basic Filing Fee Paid				138	1,510 138 1,510	Petition to institute a public use proceeding	
SUBTOTAL (3) (\$ 0)				140	110 240 55	Petition to revive - unavoidable	
Other fee (specify) _____							
Other fee (specify) _____							

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Jeffrey H. Rosedale	Registration No. (Attorney/Agent)	46,018	Telephone (215) 785-8300
Signature	<i>Jeffrey H. Rosedale</i>		Date	9/14/00

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: 99-054

JC641 U.S. PRO  
09/661816  
09/14/00  


In re application of:  
John Robert Patterson et al

Provisional  
Serial No.: 60/155,718

Patent  
Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Provisional  
Filing Date: September 23, 1999

For: Chlorinated Vinyl Resin/Cellulosic Blends: Compositions,  
Processes, Composites, and Articles Therefrom

BOX: PATENT APPLICATION  
Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Certificate of Express Mailing

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

- Utility Patent Application Transmittal
- Fee Transmittal (in duplicate)
- Nonprovisional Patent Application
- Preliminary Amendment and Priority Request
- Declaration
- Certificate of Express Mailing
- Return Receipt Postcard

  
Signature

September 14, 2000  
Date

Express Mail # EK905906683US